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Notice of Privacy Practices

THIS NOTICE DESCRIBES HOM MEDICAL or DENTAL INFORMATION ABOUT YOU MAY BE USED AND DICLOSED AND HOW YOU CAN GET FULL ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

We respect our legal obligation to keep health information that identifies you private. We are obligated by low to give you notice of our privacy practices. This notice describes how we protect your healthy information and what rights you have regarding it.

TREATMENT, PAYMENT AND HEALTH CARE OPERATIONS

The most common reason why we use or disclose your health information is for treatment, payment or health care operations. Examples of how we use or disclose information for treatment purposes are; setting up an appointment for you; examining your teeth, mouth and oral health; prescribing medications and faxing/emailing them to be filled; prescribing denal appliances and dental prostheses; showing you treatment options; referring you to another dentist for specialty care; or getting copies of your health information from another professional that you may have seen before us. Examples of how we use or disclose your health information for payment purposes are: asking you about your dental or medical care plans, or other sources or payment; preparing and sending bills or claims; and collecting unpaid amounts (either ourselves or through a collection agency or attorney). "Health care operations" mans those administrative and managerial functions that we have to do in order to run our office. Examples of how we use or disclose your health information for health care operations are: financial or billing audits; internal quality assurance; personal decisions; participation in managed care plans; defense of legal matters; business planning and outside storage of our records.

We routinely use your health information inside our office for these purposes without any special permission. If we need to disclose your health information outside of our office for these reasons, we usually will not ask for special permission.

We will ask for special written permission in the following situations: anything related to HIV/AIDS status; any sale of information; any use of information for marketing or fundraising purposes and any transfer of PHI.

In some limited situations, the law allows or requires us to use or disclose your health information without your permission. Not all of these situations will apply to us; some may never come up at our office at all.

Such uses or disclosures are:

- When a state or federal law mandates that certain health information be reported for a specific purpose
- For public health purposes, such as contagious disease reporting, investigation or surveillance and notices to and from federal Food and Drug Administration regarding drugs or medical devices;
- Disclosures to governmental authorities about victims of suspected abuse, neglect or domestic violence;
- Uses and disclosures for health oversight activities, such as for the licensing of doctors; for audits by Medicare or Medicaid; or for investigation of possible violations of health care lows;
- Disclosures for judicial and administrative proceedings such as in response to subpoenas or orders of courts or administrative agencies;
- Disclosures for law enforcement purposes such as to provide information about someone who is or
 is suspected to be a victim of a crime; to provide information about a crime at our office; or to
 report a crime that happened somewhere else;
- Disclosure to a medical examiner to identify a dead person or to determine the cause of death; or to funeral directors to aid in burial; or to an organization that handles organ or tissue donations;
- Uses or disclosures for health related research
- Uses and disclosures to prevent a serious threat to health or safely
- Uses or disclosures for specialized government functions such as for the protection of the
 president or high ranking government officials; for lawful national intelligence activities; for
 military purposes; or for the evaluation and health of members of the foreign service;
- Disclosures of de-identified information
- Disclosures relating to worker's compensation
- Disclosures of a "limited data set" for research, public health or health care operations
- Incidental disclosure that are an unavoidable by-product of permitted uses or disclosures;
- Disclosures to "business associates" who perform health care operations for us and who commit to respect the privacy of your health information

APPOINTMENT REMINDERS

We may call or write to remind you of scheduled appointments or that it is time to make a routine appointment. We may also call or write to notify you of other treatments or services available at our office that might help you. Unless you tell us otherwise, we will mail you an appointment reminder on a

postcard and/or leave you a reminder message on hour home/cell answering machine or with someone who answers your phone if you are not home.

OTHER USES AND DISCLOSURES

We will not make any other uses or disclosures of your health information unless you sign a written "authorization form". The content of an "authorization form" is determined by federal law. Sometimes, we may initiate the authorization process if the use or disclosure is our idea. Sometimes you may initiate the process if it's your idea for us to send your information to someone else. Typically, in this situation you will give us a properly completed authorization form or you can use one of ours.

If we initiate the process and ask you to sign an authorization form, you do not have to sign it. If you do not sign the authorization we cannot make the use or disclosure. If you do sign one, you may revoke it at any time unless we have already acted in reliance upon it. Revocations must be in writing. Send them to the office Privacy Officer.

YOUR RIGHTS REGARDING YOUR HEALTH INFORMATION

The law gives you many rights regarding your health information. You can:

- Ask us to restrict our uses and disclosures for purposes of treatment (except emergency treatment), payment or health care operations. We do not need to agree to this, but if we agree, we must honor the restrictions you want. We must honor a restriction not to send information to a health care plan regarding any service for which you have already made full payment. To ask us for a restriction, send a written request to the Privacy Officer at Giving Tree Pediatric Dentistry; 110 Washington Avenue, fax: 914-579-2226, email team@givingtreedental.com
- Ask us of communicate with you in a confidential way such as by phoning you at work rather than
 at home, by mailing health information to a different address, or by using email to your personal
 email address. We will accommodate these requests if they are reasonable and if you pay us for
 any extra cost. If you want to ask for confidential communications send a written request to the
 Privacy Officer at the address, fax or email listed above.
- Ask us to seeor get photocopies of your health information. By law there are a few limited situations in which we can refuse to permit access or copying. For the most part, however, you will be able to review or have a copy of your health information within 10 days of asking us. You may have to pay for a photocopy in advance. If we deny your request, we will send you a written explanation and instructions about how to get an impartial revious of our denial if one is legally available. If you want to review or get photocopies of your health information send a written request to the office contact person at the address, fax or email shown above.
- Ask us to amend your health information if you thin that it is incorrect or incomplete. If we agree, we will amend the information withinn 60 days from when you ask us. We will send the corrected information to persons who we know got the wrong information and others that you specify. If we do not agree you can write a statement of your position and we will include it with your health information along with any rebuttal statement we may write. Once your statement of position and/or our rebuttal is included in your health information, we will send it along whenever

we make a permitted disclosure of your health information. By law, we can have one 30 day extension of time to consider a request for amendment if we notify you in writing of the extension. If you want to ask us to amend your health information, send a written request, including your reason for the amendment to the office contact person at the address, fax or email listed above.

- Get a list of disclosures that we have made of your health information within the past six years (or shorter period if you want). By law, the list will not include: disclosures for the purposes of treatment, payment or health care operations; disclosures with your authorization; incidental disclosures; disclosures requires by law and some other limited disclosures. You are entitled to one such list per year without charge. If you want more frequent lists you will have to pay for them in advance. We will usually respond to your request within 60 days of receiving it, but by law we can have one 30 day extension of time if we notify you of the extension in writing. If you want a list send a written request to the office contact person at the address, fax or email listed above.
- Get additional paper copies of the Notice of Privacy Practices upon request. It does not matter whether you got on electronically or in paper form already. If you want additional paper copies send a written request to the office contact person at the address, fax or email listed above.

You will be notified by us in a timely manner of any breach of privacy and confidentiality of your unsecured protected health information which we will provide to you in accordance with law and take all appropriate measures to address.

OUR NOTICE OF PRIVACY PRACTICES

By law, we must abide by the terms of this Notice of Privacy Practices until we chose to change it. We reserve the right to change this notice at any time as allowed by law. If we change this notice, the new privacy practices will apply to your health information that we already have as well as to such information that we may generate in the future. If we change our Notice of Privacy Practices we will post the new notice in our office, have copies available in our office and post it to our website.

COMPLAINTS

If you think we have not properly respected the privacy of your health information, you are free to complain to us or the US Department of Health and Human Services, Office for Civil Rights. We will not retaliate against you if you make a complaint. If you want to complain to us send a written complaint to the office contact person and the address, fax or email listed above. If you prefer, you can discuss your complaint in person or by phone.

FOR MORE INFORMATION

If you want more information about our privacy practices, call or visit the office contact person at the address listed above or by phone at 914-579-2225

ACKNOWLEDGEMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICES

I acknowledge that I received a copy of the Notice of Privacy Practices

Patient/Legal Guardian Name:
Signature of Patient/Legal Guardian:
Date: